

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 25, 2002.

Kent E. Genin

Name of Applicant, Assignee or  
Registered Representative

Signature

Date of Signature

*L. Parks*  
*12-8-02*  
*#5/Supl.*  
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**DEC - 2 2002**

**TECHNOLOGY CENTER R3700**

**PATENT  
CASE NO. 3614/63**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

A. Chow et al.

Serial No.: 10/056,793

Filing Date: January 23, 2002

For: METHODS FOR IMPROVING  
DAMAGED RETINAL CELL  
FUNCTION

Examiner: Unknown

Group Art Unit No.: 3762

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. § 1.97-1.99, Applicant hereby submits the following references for consideration by the Examiner. Copies of each have been enclosed along with the form PTO-1449.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
US 2002/0147464 A1	10/10/02	Peyman
6,066,675	05/23/00	Wen et al.

**OTHER ART**

Majji, Ajit, et al.: "Long Term Histological and Electrophysiological Results of an Inactive Epiretinal Electrode Array Implantation in Dogs", Investigative Ophthalmology & Visual Science, August 1999, Vol. 40, No. 9, pp. 2073-2081

Margalit, et al.: "Bioadhesives for Intraocular Use", Retina, The Journal of Retinal and Vitreous Diseases, 2000, Vol. 20, No. 5, pp. 469-477

Peyman, Gholam, MD, et al.: "Subretinal Semiconductor Microphotodiode Array", Ophthalmic Surgery and Lasers, March 1998, Vol. 29, No. 3, pp. 234-241

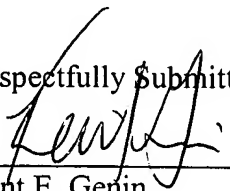
**EXPLANATION OF THE REFERENCES**

As of the day of filing of this information disclosure statement, a first office action on the merits has not been received by the undersigned. Accordingly, no fee for consideration of the information provided with this disclosure statement is included herewith, as permitted by 37 C.F.R. § 1.97(b)(3). However, if an office action on the merits is mailed on a date prior hereto, Applicant hereby requests and authorizes the Commissioner to charge Deposit Account 23-1925 for the appropriate fee of \$180 as set forth in 37 C.F.R. § 1.97(c) for consideration of the information set forth in this disclosure statement.

Applicant requests to have these references included in the record of this application. Applicant further requests that the Examiner review the entire disclosure of each reference. Applicant does not represent any of these references to be prior art and Applicant reserves the right to disqualify any references by the showing of an earlier date of invention, if appropriate.

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-7732

Respectfully Submitted,

  
\_\_\_\_\_  
Kent E. Genin  
Reg. No. 37,834  
Attorney for Applicant



FORM PTO-1449	SERIAL NO. 10/056,793	CASE NO. 3614/63
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE January 23, 2002	GROUP ART UNIT 3762
APPLICANT(S): A. Chow et al.		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

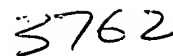
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	US 2002/014764 A1	10/10/02	Peyman		
	B2	6,066,675	05/23/00	Wen et al.		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)					
	B3	Majji, Ajit, et al.: "Long Term Histological and Electrophysiological Results of an Inactive Epiretinal Electrode Array Implantation in Dogs", Investigative Ophthalmology & Visual Science, August 1999, Vol. 40, No. 9, pp. 2073-2081				
	B4	Margalit, et al.: "Bioadhesives for Intraocular Use", Retina, The Journal of Retinal and Vitreous Diseases, 2000, Vol. 20, No. 5, pp. 469-477				
	B5	Peyman, Gholam, MD, et al.: "Subretinal Semiconductor Microphotodiode Array", Ophthalmic Surgery and Lasers, March 1998, Vol. 29, No. 3, pp. 234-241				

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



TO THE COMMISSIONER FOR PATENTS

☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ Petition for a \_\_\_\_\_ month extension of time.

☐ No additional fee is required.

☐ The fee has been calculated as shown below:

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Other Than  
Small Entity

Small Entity		or	Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+ \$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Kent E. Genin  
Registration No. 37,834  
Attorney for Applicant

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 25, 2002.

Date:

**Signature:**